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PATENT APPLICATION  
Docket No.: 301541.3000-100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jack P. Salerno, Guanghua Pte and David J. Brady

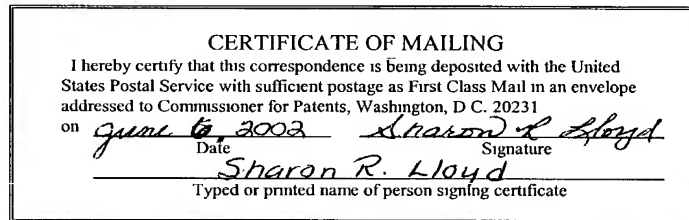
Application No.: 10/032,702

Filed: December 28, 2001

For: Systems and Methods of Manufacturing Integrated Photonic Circuit Devices

Group Art: 2874

Examiner: Not Assigned



REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In reply to the Notice to File Missing Parts dated March 6, 2002, the following documents and fees are being submitted for filing in the captioned application. A copy of the Notice is attached.

- ☒ **EXECUTED DECLARATION/POWER OF ATTORNEY**  
(Separate transmittal letter and postcard not required)
- ☒ **FILING FEE** – with Fee Transmittal for Patent Applications in duplicate.  
(Separate transmittal letter and postcard not required)
- ☒ **SURCHARGE** – surcharge fee of \$130 or 65.  
(Separate transmittal letter and postcard not required)
- ☐ **SEQUENCE LISTING** – Filed concurrently and is attached.  
(Separate transmittal letter and postcard not required)
- ☒ **TRANSMITTAL OF SUBSTITUTE DRAWINGS**  
70 Sheets of substitute drawings consisting of Figs. 1 – 62 are enclosed.  
(Separate transmittal letter and postcard not required)

06/19/2002 BABRAHA1 00000116 10032702

01 FC:215

55.00 DP

**[X] PETITION FOR EXTENSION OF TIME**

**[X]** Applicant hereby petitions to extend the time to respond to the Notice to File Missing Parts dated March 6, 2002, for one (1) month from May 6, 2002 to June 6, 2002.

The appropriate fee of \$55.00 is included in the enclosed check.

**[ ]** A    months extension of time to respond to the Notice to File Missing Parts dated    was filed on    with payment of a \$   fee.

**[ ]** Applicant hereby petitions for an additional month extension of time to respond to the Notice to File Missing Parts. The appropriate fee of \$   is included in the enclosed check.

*(Separate transmittal letter and postcard not required)*

**[ ] REQUEST FOR CORRECTED FILING RECEIPT** – Filed concurrently and is attached.

*(Separate transmittal letter and postcard not required)*

**[X] STATEMENT CLAIMING SMALL ENTITY STATUS**

**[ ]** Was filed on   .

**[X]** Is enclosed herewith.

*(Separate transmittal letter and postcard not required)*

**[ ]** In view of the small entity status of the captioned application, we hereby request a reimbursement of 50% of the filing fees in the amount of \$   which were paid on    to be deposited in Deposit Account No.50-1935.

The fees required for filing the indicated documents are enclosed in the form of a check in the total amount of \$922.00. Please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 50-1935. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

BOWDITCH & DEWEY, LLP

By monica grewal

Monica Grewal

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Framingham, Massachusetts 01701

Date: June 6, 2002



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/032,702	12/28/2001	Jack P. Salerno	301541.3000-100

THOMAS O. HOOVER, ESQ.  
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CONFIRMATION NO. 5370

## FORMALITIES LETTER



\*OC000000007585253\*

Date Mailed: 03/06/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/19/2002 BABRAHA1 00000115 10032702

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 OP
02 FC:205	65.00 OP
03 FC:203	180.00 OP
04 FC:202	252.00 OP

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$864.
  - \$360 for 20 total claims over 20.
  - \$504 for 6 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1734.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

Aida Gatom

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE